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| Approved By: | | |
| , pp. 0100 Dj. | | |

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| Standard Operating Procedures | | | |
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- 2. related or possibly related to participation their research (in this guidance documents) related to participation their especial to the possibility that the incident, experience, or outcome may have been caused by the procedures involved in the research); and
- suggests that the research places subjetters at a greater risk of harm (including physical, psychological, economic, or social harm) than was previously known or recognized.



Diagram taken from the OHRP Guidance on Unanticipated Problems and Adverse Events (January 15, 2007)

3. Roles & Responsibilities

Execution of SOP: Principal Investigators (PI)/Study Personnel (SP), IRB Chair, IRB, Office of Research Integrity (ORI) Staff.

It is the primary responsibility of the Principal Investigator and research teans to endbers and record any problems that occur during the course of research team member who encounters a study problem, it is recommended to the PI in a timely or immediate manner.

*Immediate action, includingeochanges to approved study procedures, may be taken to avoid apparent a immediate harm to subjects or others.

Using the basic classification stystlescribed in the procedures section below pscavigited indication when (not if) a problem needs to be reported to the IRB. All problems need to be reported to the IRB, though, not all problems require immediate reporting emploalication. There have be additional requirements or desire to report certain problem events and/or any follow up actions to federal agencies, or other outside entities.

It is the responsibility of the IRB to assess the problems reported by researchers. Problems that adverely affect the rights and/or welfare of subjects, and/or the integrity of the study may warrant further action (discussed in more detail below). There may be additional requirements or desire to report certain problem events and/or any followactions of federal agencies, or other outside entities.

4. Procedures

It is highly recommended that the PI utilize the following classification system when recording and assessing problem events. The BR and IRB will utilize the following classification system assessing problems reported by the PI or other researchers.

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1) Assessment

Rate the problem on the following three scaled criteria:

Not Serious Serious

Seriousness as being most closely associated with the safety, rights and/or welfare of study subjects or others.

Anticipated Unanticipated

The anticipated nature of the event as being most closely associated with potential problem events perviously outlined in the study protocol as an expected occurrence, for which there is likely a procedural measure to help any reduce risk of harm. If the problem event is not previously discussed as expected in the study protocol, then it may be as assisting icipated.

Not Study Related Study Related

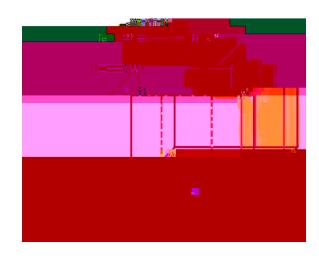
The relatedness of the event as being most closely associated with participation in the study, or having been affected by the presence of the study.

2) Reporting

If the problem event is found to have any two of the **storad**ed assessmeritted assessmeritted; unanticipated, or study related, then timely reporting of the event needs to be made to the IRB office. If the problem event is found to have all three of the qualities serious, unanticipated, and study related, then an immediate reposerts to be made to the IRB office. If the event is found to have only one or none of the qualities serious, unanticipated, or study related, then the problem event may be recorded for reporting to the IRB office at the time of continuing review.

* Immedate action, includintingene hanges to approved study procedures, may be taken to avoid appare immediate harm to subjects or others.

A diagram of the above discussion is provided below:



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- x a redetermination of the risk level of the study
- x modification to an approved study procedure(s) and/or the information provided during the consent process
- x notification to current participants relevant information
- x reconfirming consent of current participants
- x requiring addional monitoring for current and/or past participants
- x monitoring of the research (including audits) and/or consent process
- x education, training, or mentoring for the principal investigator and/or research team members
- x modification to the continuing reviewhedule

4) Considerations for Additional Reporting

Where outside entities, or funding agencies/sponsors are involved with the research, additional reporting of the event(s) and any subsequent actions may be required or desired and should seek to be made in timely manner3, · V V KORSXIIOWE the IRB office prior to making a report to outside entities.

The appropriate regulatory agencies and organizational officials will be notified within 30 business daywhereany instance of unanticipated problems living risks to subjects or others has been determined.

5. References

OHRP Guidance on Reviewing and Reporting Unanticipated Problems involving risks to subjects or others: http://www.hhs.gov/ohrp/policy/advevntguid.html

OHRP Guidance on UnanticipetProblems Involving Risks & Adverse Events Guidance (2007): https://www.hhs.gov/ohrp/regulationandpolicy/guidance/reviewingnanticipated problems/index.html#Q1

45 CFR 46.103(b)(5)

21 CFR 56.108(b)

UNLV Rules and Procedures for Conducting Human Subjects Research 5.4.3